

OFFICE OF FOREIGN ASSETS CONTROL

REPORT OF LICENSING ACTIVITIES

PURSUANT TO

THE TRADE SANCTIONS REFORM AND EXPORT ENHANCEMENT ACT OF 2000

October - December 2011

This report is submitted pursuant to Section 906(b) of the Trade Sanctions Reform and Export Enhancement Act of 2000 (“TSRA”) and covers activities undertaken by the Treasury Department’s Office of Foreign Assets Control (“OFAC”) under Section 906(a)(1) of the TSRA from October through December 2011. Under the procedures established in its TSRA-related regulations, OFAC processes license applications requesting authorization to export agricultural commodities, medicine, and medical devices to Iran and Sudan under the one-year specific licensing regime set forth in Section 906 of the TSRA.

From October 1 through December 31, 2011, OFAC’s Licensing Division received a total of 398 applications pursuant to Section 906(a)(1) of the TSRA. During this period, OFAC issued a total of 371 licensing determinations,¹ of which 145 were for cases received in this quarter. OFAC issued 184 licenses, 48 license amendments, 51 “return-without-action” letters, and 1 denial letter in this quarter. Of the total licenses issued, 173 involved products for Iran and 11 for Sudan. For more details, see Charts 1-3 and Graph 1.

On October 12, 2011, OFAC amended the Sudanese Sanctions Regulations, 31 CFR Part 538, and the Iranian Transactions Regulations, 31 CFR Part 560, by issuing general licenses that authorize the exportation or reexportation of food to Sudan and Iran, thereby removing the requirement to apply for a specific license for such exports and reexports while continuing to meet the requirements of Section 906(a)(1) of the TSRA. Certain specified food items, as well as exports to certain persons, requiring a greater level of scrutiny are excluded from the general licenses. These transactions, as well as the exportation or reexportation of agricultural commodities that do not fall within the definition of food in the general licenses, of medicine and of medical devices continue to require specific licenses. A total of 69 cases were closed pursuant to these general licenses.

CHART 1
Number of License Applications and Licenses Issued by Product

	Agricultural Commodities	Medicine	Medical Devices	TOTAL
License Applications	110	43	245	398
Licenses Issued	35	18	131	184
License Amendments Issued	8	4	36	48
Applications Denied	0	0	1	1

¹A “licensing determination” is any action that OFAC takes on a license application. It may take the form of a license, a license amendment, a “return-without-action” letter, a general information letter, an interpretative letter, a denial letter, or a close-out determination.

CHART 2
Number of License Applications and Licenses Issued by Country

	Iran	Sudan	TOTAL
License Applications	365	33	398
Licenses Issued	173	11	184
License Amendments Issued	44	4	48
Applications Denied	0	1	1

CHART 3
Number of License Applications and Licenses Issued by Country and Product

	Agricultural Commodities	Medicine	Medical Devices	TOTAL
IRAN				
License Applications	96	40	229	365
Licenses and License Amendments Issued	39	22	156	217
SUDAN				
License Applications	14	3	16	33
Licenses and License Amendments Issued	4	0	11	15

GRAPH 1
Average Processing Time for Issuing Licensing Determinations (Business Days)

