

OFAC Civil Penalties Enforcement Information

ENTITIES - 31 CFR 501.805(d)(1)(i)

Name	Address	Sanctions 31 CFR Part, or Executive Order	Description of Actual or Alleged Violation	Voluntary Disclosure	Amount	Assessed (A) Settled (S)
A. Kazemi & Co., Inc.	Tucson, AZ 85751	560	LC		\$ 8,262.00	S
A.B.Y. Paralegal, Inc.	Miami, FL 33175	515	PTRS		\$ 750.00	S
AAA Travel	Helena, MT 59604	515	PTRS		\$ 500.00	S
Allfirst Financial Inc.	Baltimore, MD 21203	550	FT	v	\$ 4,000.00	S
Amazon.com, Inc.	Seattle, WA 98108	515	PS		\$ 1,985.68	S
Axon Corporation	Raleigh, NC 27603	560	E		\$ 45,000.00	S
Banco di Napoli	New York, NY 10022	550	FT		\$ 2,300.00	S
Bancomer S.A.	Los Angeles, CA 90071	515	FT		\$ 5,000.00	S
Bank of New York	New York, NY 10028	585	FT		\$ 24,750.00	A
Barwill Agencies (N.A.), Inc.	Houston, TX 77008	EO 13067	FT		\$ 8,250.00	A
Bio Check Corp.	Burlingame, CA 94010	560	E	v	\$ 32,000.00	S
The Cargill Lumber Co.	Minneapolis, MN 55440	560	FT		\$ 2,000.00	S
Carrier Access Corp.	Boulder, CO 80301	560	E	v	\$ 13,000.00	S
Caterpillar, Inc.	Peoria, IL 61629	515	E	v	\$ 18,000.00	S
Cephar International, Inc.	Woodridge, IL 60517	550	FT		\$ 2,750.00	S
Charmilles Techonologies Manufacturing Corporation	Owosso, MI 48867	538	S	v	\$ 5,000.00	S
Chevron/Texaco	Concord, CA 94524	515, 575	DP, S	v	\$ 14,071.07	S
Citibank, N.A.	New York, NY 10043	538	FT		\$ 2,500.00	S

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Citibank, N.A.	New York, NY 10043	595	DP	v	\$ 2,925.00	A
Cooper Industries, Inc.	Syracuse, NY 13221	538	E		\$ 13,658.00	S
Cornell Pump Co.	Portland, OR 97222	560	E		\$ 1,936.00	A
Credit Lyonnais, NY	New York, NY 10019	550	FT		\$ 5,500.00	S
Dow Agrosciences, LLC	Midland MI 48641	515	K	v	\$ 20,000.00	S
EMO Trans Georgia, Inc	Atlanta, GA 30354	538	E		\$ 1,550.00	A
ESPN, Inc.	Bristol, CT 06010	515	K		\$ 39,050.86	S
Exxon Mobil Corp.	Fairfax, VA 22037	538	E	v	\$ 50,000.00	S
First Security Bank, Utah	Salt Lake City, Utah	560	FT		\$63,200	S
Fleet Bank	Boston, MA 02109	560, 515	FT, E		\$ 41,050.00	S
GEM Communications	New York, NY 10019	550	CTRT		\$ 542.36	S
IGI, Inc.	Buena, NJ 08310	560	E		\$ 225,000.00	S
International Union of Pure & Applied Chemistry (IUPAC)	Research Triangle Park, NC 27709	539	FT		\$ 500.00	S
International Physicians for the Prevention of Nuclear War Inc. (IPPNW)	Cambridge, MA 02139	515	FT		\$ 500.00	S
Metso Minerals, a/k/a Svedala Industries, Inc.	Milwaukee, WI	515	CTRT	v	\$ 10,000.00	S
MSA Haute Couture, Inc.	New York, NY 10018	560	FT		\$ 4,000.00	S
National Australia Bank	New York, NY 10166	550	FT		\$ 4,779.73	S
Northern Trust, IBC	New York, NY 10040	515, 538, 586	FT		\$ 18,027.00	S

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Omega World Travel	Fairfax, VA 22031	515	PTRS	v	\$ 1,000.00	S
Paul Sims, Inc.	Richmond, VA 23233	EO 13121	I		\$ 1,880.00	S
Royal Crown Co.	White Plains, NY 10604	538	E		\$ 38,200.00	S
John Royle & Sons	Pompton Lakes, NJ 07442	EO 13088	FT		\$ 3,000.00	S
Safra National Bank	New York, NY 10036	538	FT		\$ 5,380.79	A
Stanadyne Automotive Corp.	Windsor, CT 06095	538	FFR		\$ 1,000.00	S
Tacala, Inc.	Birmingham, AL 35243	515	I		\$ 1,235.00	A
Triangle Wholesale	Racine, WI 53403	EO 13088	I		\$ 11,000.00	S
Union Bank of CA	San Francisco, CA 94104	EO 13121	FT		\$ 14,913.96	S
Union Bank of CA	San Francisco, CA 94104	560	E, FT		\$ 12,000.00	A
Union Planters Bank	Cordova, TN 38018	538	FT		\$ 4,500.00	A
Vee-Marine Int'l Corp.	Houston, TX 77002	538	E		\$ 24,750.00	A
Wal-Mart Stores, Inc.	Bentonville, AR 72716	515	DP		\$ 50,000.00	S
Wells Fargo Bank	San Francisco, CA 94103	538	FT		\$ 5,500.00	S
Witte Travel & Tours	Grand Rapids, MI 49512	550	E		\$ 1,300.00	S
World Auto Parts	Houston, TX 77091	560	I, FT		\$ 5,500.00	S
Yusen Air & Sea Services (USA)	East Boston, MA 02128	560	E		\$ 767.51	A
Zim American Israeli Shipping Co., Inc.	Miami, FL 33132	515	DP/CTRT		\$ 250,000.00	S

INDIVIDUALS - 31 CFR 501.801(d)(1)(ii)

(Aggregate Numbers As Of Week Ending 4/4/03)

No. Penalties Imposed and Informal Settlements Reached	Sanctions 31 CFR Part, or Executive Order	Description of Actual or Alleged Violation	Penalty Assessed, Informal Settlement, or Hearing	Aggregate Amounts of Penalties Assessed, or Settled		
3	515	CTRT	A	\$ 9,000.00		
1	515	I	IS	\$ 1,750.00		

LEGEND

CTRT = Currency travel-related transaction	H = Hearing	PTRS = Provision of Travel-Related Services				
DP = Dealing in Property	I = Import (Goods or Services)	S = Sales				
E = Export (Goods or Services)	IS = Informal Settlement					
FFR = Failure to File Report	K = Contract in which Cuba has an interest					
FT = Funds Transfer	LC = Letter of Credit					
F602 = Failure to Respond to .602 Request	PS = Provision of Services					