

OFAC Civil Penalties Enforcement Information

ENTITIES - 31 CFR 501.805(d)(1)(i)

Name of Entity Involved	Address	Sanctions Program	Description of Actual or Alleged Violation	Voluntary Disclosure	Amount	Assessed (A) Settled (S)
American Express Bank, Ltd.	New York, NY 10285	Kosovo	2000 Funds transfer		\$ 3,291.26	S
American Services	Los Angeles, CA 90045	Cuba	2000 Shipment to Cuba and funds transfer		\$ 4,236.50	S
Bank of America	Concord, CA 94520	Sudan & Foreign Narcotics Kingpin	2001 - 2002 Funds transfers & 2002 operation of account on behalf of a SDNTK		\$ 13,573.26	S
Bank One	Chicago, IL 60670	Iran & Sudan	2002 - 2003 Funds transfers	Yes	\$ 6,682.50	S
Barclays Bank PLC	New York, NY 10166	Libya	2003 Funds transfers	Yes	\$ 14,970.00	S
Barry Callebaut USA, Inc.	St. Albans, VT 05478	Cuba	2002 Importation of Cuban-origin goods		\$ 6,852.30	S
Bank of New York	New York, NY 10286	Cuba, Libya & Sudan	1998, 2000 & 2001 Funds transfers		\$ 34,623.25	S
Bank of New York	New York, NY 10286	Libya	2000 - 2002 Funds transfers	Yes	\$ 27,500.00	S
Bank of New York	New York, NY 10286	Kosovo	2002 Unblocking of blocked Yugoslavian funds	Yes	\$ 5,500.00	S
Best Lock Corporation on behalf of Best Access Systems	Indianapolis, IN 46250	Libya	2001 Shipment of goods destined for Libya	Yes	\$ 2,750.00	S
China Shipping (North America) Holding Co., Ltd.	Secaucus, NJ 07094	Cuba	2001 - 2002 Contracts with Cuban entity & 2002 funds transfer		\$ 12,340.00	S
Columbia Bank	Tacoma, WA 98401	Cuba	2002 Funds transfer		\$ 1,000.00	S

Commerzbank AG	New York, NY 10281	Sudan	2002 Funds transfer	Yes	\$ 5,500.00	S
Deutsche Bank	New York, NY 10005	Libya	2003 Funds transfer	Yes	\$ 5,500.00	S
Deutsche Bank	New York, NY 10005	Sudan	2002 Funds transfer		\$ 5,500.00	S
Frederick Taylor University	Moraga, CA 94556	Sudan	Attempted export of services to resident of Sudan in 2002		\$ 329.00	S
GFS Chemicals, Inc.	Powell, OH 43065	Libya	1998 - 1999 Contract with Libyan SDN for the sale of goods		\$ 718.00	S
Hakan Agro Commodities Trading Co.	Dubai, UAE	Iraq	Attempted export of goods to Iraq in 2002		\$ 61,526.00	S
Hialeah Gardens Immigration Agency	Hialeah, FL 33012	Cuba	Unauthorized provision of travel services in 1999		\$ 2,250.00	S
Indrill Supply of Texas, Inc.	Houston, TX 77043	Libya	Sale of goods destined for Libya in 2001		\$ 2,750.00	S
ITW Ride Quality Products on behalf of ITW Akron Standard	Akron, OH 44309	Kosovo	Contract with FRY entity in 2000		\$ 3,000.00	S
Jas. D. Easton, Inc.	Van Nuys, CA 91406	Cuba	2002 Contract with third-country national for services to be performed in Cuba		\$ 500.00	S
JP Morgan Chase	New York, NY 10081	Libya	2001 & 2003 Funds transfers	Yes	\$ 26,980.00	S
Lahey Computer Systems, Inc.	Incline Village, NV 89450	Iran	2002 Sale of goods destined for Iran		\$ 750.75	S
M & T Bank	Buffalo, NY 14203	Kosovo	2000 Funds transfer	Yes	\$ 2,250.00	S
Malf Enterprises, Inc.	New York, NY 10038	Cuba & Iraq	2001 Facilitation of trade with Iraq and Cuba		\$ 2,364.39	S

Mellon Investor Services LLC	Ridgefield Park, NJ 07660	Cuba, Iran, North Korea, Sudan & Yugoslavia	2000 Issuance of checks destined for Cuba, Iran, North Korea, Sudan and Yugoslavia	Yes	\$ 3,673.00	S
Mellon Bank N.A.	Pittsburgh, PA 15258	Kosovo	2000 Funds transfer		\$ 10,400.00	S
Mega Trans Corporation	San Francisco, CA 94080	Kosovo	1999 - 2000 Shipments to Yugoslavia		\$ 1,500.00	S
Pepsi-Cola Co., Inc.	Somers, NY 10589	Sudan	2000 Importation of services & funds transfer		\$ 4,400.00	S
R-Jet Tek on behalf of Ribbon Tek	Colorado Springs, CO 80904	Kosovo	2000 Shipment to Yugoslavia		\$ 3,600.00	S
Salvation Army, Texas Division	Dallas, Texas 75221	Cuba	1999 Travel-related expenditures		\$ 750.00	S
Trinity Industries de Mexico, S.A. de C.V.	Mexico City, Mexico	Cuba	Sale of goods destined for Cuba and financing transportation to Cuba in 2001	Yes	\$ 55,000.00	S
Union Bank of Florida	Ft. Lauderdale, FL 33310	Cuba	1999 Funds transfer		\$ 1,950.00	S
U.S. Airways Group, Inc.	Arlington, VA 22227	Cuba	1998 - 2003 Unauthorized transactions with Cuban entity	Yes	\$ 30,100.00	S
Videojet Technologies, Inc. on behalf of Marconi Data Systems, Inc.	Wood Dale, IL 60191	Sudan	Exportation of goods to Sudan in 1999	Yes	\$ 4,500.00	S

INDIVIDUALS - 31 CFR 501.801(d)(1)(ii)

(Aggregate Numbers For The Month Ending 02/06/04)

No. Penalties Imposed and Informal Settlements Reached	Sanctions Program	Description of Actual or Alleged Violation	Aggregate Amounts of Penalties Assessed, or Settled	Penalty Assessed (A), Informal Settlement (S), or Hearing (H)		
1	Cuba	Travel-related transactions	\$ 7,500.00	A		
16	Cuba	Travel-related transactions	\$ 21,650.00	S		
2	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$ 13,257.00	A		
8	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$ 12,875.50	s		
2	Cuba	Importation of Cuban-origin goods	\$ 1,980.00	A		
2	Cuba	Importation of Cuban-origin goods	\$ 1,341.24	s		