

OFAC Civil Penalties Enforcement Information

ENTITIES - 31 CFR 501.805 (d) (1) (i)

Name of Entity Involved	Address	Sanctions Program	Description of Actual or Alleged Violation	Voluntary Disclosure	Amount	Assessed (A), Settled (S)
Alto Products Corp.	Atmore, AL 36504	Iran	1997 - 1999 Exportation of goods to Iran	No	\$13,750.00	S
American Express Company, Inc. on behalf of American Express, S.A. de C.V.	New York, NY 10285	Cuba	2002 - 2003 Operation of unblocked Cuban accounts	Yes	\$18,391.00	S
American Express Company, Inc. on behalf of American Express Bank Ltd.	New York, NY 10285	Iran	2000 Advice of a letter of credit relating to Iran	Yes	\$2,750.00	S
American Express Company, Inc. on behalf of American Express Bank Ltd.	New York, NY 10285	Libya	2001 Funds transfer	No	\$5,500.00	S
API International, Inc.	Tualatin, OR 97062	Taliban	1999 and 2000 Exportation of goods to Afghanistan	No	\$10,000.00	S
Atlenco Products, a Division of Atlanta Army-Navy Supply Co.	Marietta, GA 30062	Kosovo	1999 - 2000 Exportation of goods to Yugoslavia	No	\$10,909.44	S
Comet Exportair, Inc.	Inglewood, CA 90301	Kosovo	1999 and 2000 Facilitation of Trade with Yugoslavia	No	\$1,500.00	S

DnB Nor Bank fka Den Norske Bank	New York, NY 10166	Iran	2001 Funds transfers	No	\$7,855.45	S
Dolphin Lagoon, Inc. dba Dolphin Fantaseas, Inc.	Anguilla, B.W.I.	Cuba	2000 - 2001 Contract with a Cuban entity and 2000 business travel-related transactions	No	\$25,000.00	S
Eaton Corp.	Cleveland, OH 44144	Kosovo	1999 Attempted Exportation of goods to Yugoslavia	Yes	\$4,125.00	S
EDSA Micro Corp.	San Diego, CA 92127	Libya	2001 Contract with a Libyan SDN	No	\$4,800.00	S
Falcon Chemical Corp.	Miami , FL 33133	Sudan	2002 Sale for export to Sudan	No	\$2,750.00	S
First National Bank	San Diego, CA 92101	Kingpin	2002 Operation of accounts on behalf of an SDNTK	No	\$19,200.00	S
Foothills Property Inc.	Tampa , FL 33609	Sudan	1999 - 2000 Contract relating to Sudan, facilitation of trading with Sudan and attempted evasion of the regulations	No	\$8,250.00	S
Hanmi Bank	Los Angeles, CA 90010	Iran	1999 Failure to reject a letter of credit relating to Iran	Yes	\$7,000.00	S
Irridelco International Corp.	Englewood Cliffs, NJ 07632	Iraq	2001 Facilitation of Trade with Iraq	Yes	\$68,750.00	S
Sempra Energy Trading Corp. on behalf of Sempra Metal Ltd.	Stamford, CT 06902	Cuba	2002 - 2004 Contracts with a Cuban SDN	Yes	\$64,000.00	S

Skyline Displays, Inc.	Eagan, MN 55121	Kosovo	1999 and 2000 Exportation of goods to Yugoslavia	No	\$2,857.65	S
Smith Medical PM, Inc. fka BCI Inc.	Waukesha, WI 53186	Kosovo	1999 and 2000 Exportation of goods to Yugoslavia	No	\$3,500.00	S
Spitzer Addis Susman & Krull	Chicago, IL 60603	Kosovo	2000 Importation of services from Yugoslavia	No	\$2,500.00	S
Travel Dynamics, Inc.	New York, NY 10021	Libya	1998 and 1999 Contracts involving Libya	No	\$5,535.00	S
US Bancorp on Behalf of California United Bank	Cincinnati, OH 45202	Kosovo	1998 and 1999 Funds transfers	No	\$7,250.00	S
World-Wide Sires Inc.	Visalia, CA 93291	Iran	1999 Contracts with Iranian entities	No	\$5,500.00	S

INDIVIDUALS - 31 CFR 501.801 (d) (1) (ii)

Individuals (Aggregate Monthly Numbers)

No. of Penalties Imposed and Informal Settlements Reached	Sanctions Program	Description of Actual or Alleged Violation	Aggregate Amounts of Penalties Assessed or Settled	Penalty Assessed (A), Informal Settlement (S), or Hearing (H)
19	Cuba	Travel-related transactions	\$23,975.00	S
12	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$14,575.00	S
1	Cuba	Contract with a Cuban entity and business travel-related transactions	\$25,000.00	S
1	Cuba	Importation of Cuban-origin goods	\$525.00	S
2	Cuba	Attempted exportation of U.S. Currency	\$24,797.00	Customs forfeiture
1	Sudan	1999 - 2000 Contract relating to Sudan, facilitation of trading with Sudan and attempted evasion of the regulations	\$16,500.00	S