

**OFAC Civil Penalties Enforcement Information**

**ENTITIES - 31 CFR 501.805 (d) (1) (i)**

Name of Entity Involved	Address	Sanctions Program	Description of Actual or Alleged Violation	Voluntary Disclosure	Amount	Assessed (A) Settled (S)
ABN AMRO Bank, Inc.	New York, NY 10017	Cuba and Sudan	1998 & 2000 Funds transfers and failure to respond to a Requirement to Furnish Information	No	\$ 25,847.77	<b>S</b>
American Express Bank Ltd.	New York, NY 10285	Cuba	1999 Funds transfer	Yes	\$ 5,041.50	<b>S</b>
BASF Corp.	Mount Olive, NJ 07828	Kosovo	1999 - 2000 Exportation of goods to Yugoslavia	No	\$ 60,500.00	<b>S</b>
Board of Foreign Missions	Antioch, TN 37011	Cuba	2001 Attempted exportation of US currency without OFAC license	No	\$ 1,194.00	<b>S</b>
Carlson Marketing Group, Inc.	Minneapolis, MN 55459	Cuba	2001 Payment of travel expenses for Cuban nationals	No	\$ 35,350.00	<b>S</b>
Central Carolina Bank	Durham, NC 27702	Iran	1998 - 2002 Operation of an Iranian account	Yes	\$ 8,465.81	<b>S</b>
Comerica Bank	Detroit, MI 48226	Kosovo	2000 Funds transfer	No	\$ 850.00	<b>S</b>
Conlin Travel, Inc.	Ann Arbor, MI 48104	Cuba	2002 - 2003 Unlicensed provision of travel-related services	No	\$ 875.00	<b>S</b>
Corporate One Federal Credit Union	Columbus, OH 43240	Kosovo	1998 Funds transfer	No	\$ 5,500.00	<b>S</b>

<b>Daewoo Heavy Industries America Corp.</b>	Suwanee, GA 30024	Cuba	1999 Exportation of goods destined for Cuba	No	\$55,000 and Customs forfeiture	<b>S</b>
<b>HSBC Bank USA</b>	New York, NY 10005	Cuba	2003 Funds transfer	No	\$ 8,375.00	<b>S</b>
<b>International Specialists, Inc.</b>	Boston, MA 02109	Kosovo	1999 Facilitation of trade with Yugoslavia	No	\$ 1,972.25	<b>S</b>
<b>Montgomery Watson Harza Engineering Co.</b>	Chicago, IL 60606	Kosovo	1998 Joint venture agreement with a Yugoslavian SDN	No	\$ 16,627.50	<b>S</b>
<b>Piper Business S.A.</b>	Douglaston, NY 11362	Kosovo	2000 Exportation of goods to Yugoslavia	No	\$ 730.05	<b>S</b>
<b>Roper Scientific, Inc.</b>	Trenton, NJ 08619	Kosovo	2000 Acceptance of commercial payments from Yugoslavia	No	\$ 3,240.00	<b>S</b>
<b>Stanford Research Systems</b>	Sunnyvale, CA 94089	Kosovo	2000 Exportation of goods to Yugoslavia	No	\$ 5,500.00	<b>S</b>
<b>Tri-Tech Internet Services, Inc.</b>	Glendale, CA 91205	Kosovo	2000 Commercial transactions in Yugoslavia	No	\$ 339.18	<b>S</b>
<b>World Monuments Fund</b>	New York, NY 10016	Cuba	2003 Funds transfer	No	\$ 4,187.00	<b>S</b>
<b>Xerox (UK) Limited</b>	Uxbridge, England	Cuba	2000 Provision of travel-related services	No	\$ 5,207.00	<b>S</b>

**INDIVIDUALS - 31 CFR 501.801(d)(1)(ii)**

**Individuals (Aggregate Monthly Numbers)**

No. Penalties Imposed and Informal Settlements Reached	Sanctions Program	Description of Actual or Alleged Violation	Aggregate Amounts of Penalties Assessed, or Settled	Penalty Assessed (A), Informal Settlement (S), or Hearing (H)		
17	Cuba	Travel-related transactions	\$ 29,700.00	S		
6	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$ 13,973.13	S		
3	Cuba	Importation of Cuban-origin goods	\$ 1,036.00	S		
1	Cuba	2002 Attempted export of US currency to Cuba	\$ 2,500.25	S		