

OFAC Civil Penalties Enforcement Information

ENTITIES - 31 CFR 501.805 (d) (1) (i)

Name of Entity Involved	Address	Sanctions Program	Description of Actual or Alleged Violation	Voluntary Disclosure	Amount	Assessed (A) Settled (S)
Advanced Logical Research, LLC	San Diego, CA 92121	Cuba	1999 Funds transfer		\$ 4,765.00	S
Advest, Inc.	Hartford, CT 06103	Cuba	2001 Funds transfer		\$ 500.00	S
Arcelor Trading USA on behalf of Trade Arbed, Inc	New York, NY 10014	Iran	1998-99 Shipment on an Iranian vessel		\$ 5,500.00	S
Austin Bank	Longview, TX 75608	Cuba	2001 Funds transfer		\$ 750.00	S
AT&C Corporation	Nashville, TN 37027	Iraq	Attempted export of licensable goods to Iraq in 2000 without a license		\$ 66,250.00	A
Citicorp Vendor Finance, Ltd.	Toronto, Canada	Cuba	Contract with Cuban entity to finance a lease agreement for goods in 2001	Yes	\$ 1,462.50	S
Colt International, Inc.	Houston, TX 77034	Cuba	1999-2001 Payments to a Cuban entity		\$ 20,000.00	S
First Class Business, Inc.	Newark, NJ 07104	Iran	Facilitation of trade with Iran in 2002		\$ 2,500.00	S
HSBC Equator (USA), Inc.	Glastonbury, CT 06033	Iran	Advice of letter of credit relating to Iran in 2000		\$ 2,750.00	S

Naish Sales Hawaii, Division of Nalu Kai, Inc.	Haiku, HI 96708	Cuba	2001 Contract with third-country national for goods to be sold in Cuba		\$ 2,645.91	S
North Fork Bank	Melville, NY 11747	Cuba	2002 Funds transfer		\$ 3,000.00	S
Paragon Federal Credit Union	Township of Washington, NJ 07676	Cuba	2002 Funds transfer		\$ 3,850.00	S
Poliner, Poliner, Antin & Cienava Rocco, P.C.	Middletown, CT 06457	Cuba	2002 Funds transfer		\$ 2,000.00	S
Ruesch International, Inc.	New York, NY 10020	Cuba	2000 Funds transfer		\$ 7,811.25	S
Southeast Corporate Federal Credit Union	Tallahassee, FL 32315	Cuba	2002 Funds transfer		\$ 850.00	S
University Credit Union	Miami, FL 33143	Cuba	2002 Funds transfer		\$ 1,275.00	S
UPS Supply Chain Solutions, Inc. on behalf of Fritz Companies, Inc.	San Francisco, CA 94105	Kosovo	Shipments of goods to Yugoslavia in 1999		\$ 3,956.00	S

INDIVIDUALS - 31 CFR 501.801(d) (1) (ii)

(Aggregate Numbers For The Month Ending 03/05/04)

No. Penalties Imposed and Informal Settlements Reached	Sanctions Program	Description of Actual or Alleged Violation	Aggregate Amounts of Penalties Assessed, or Settled	Penalty Assessed (A), Informal Settlement (S), or Hearing (H)		
10	Cuba	Travel-related transactions	\$ 14,375.00	S		
38	Cuba	Travel-related transactions and importation of Cuban-origin goods	\$ 47,475.00	S		
2	Cuba	Funds transfer	\$ 2,750.00	S		
1	Cuba	Importation of Cuban-origin goods	\$ 357.50	S		